AGENDA

1.0 WELCOME AND APOLOGIES

Apologies have been received from Professor Susanne Becken and Ms Linda O’Brien. Mr Bruce Callow, Deputy to the PVC INS will attend as her proxy. Apologies may be recorded by contacting Deslie Smith on extension 54106, or by emailing deslie.smith@griffith.edu.au

Ms Claire White, Manager Research Grants will attend as visitor.

2.0 DECLARATION OF INTERESTS

Members are required to identify any conflict of interest, including family or other personal relationship/s as outlined in the University policies on Conflict of Interest and Personal Relationships in the Workplace, which may exist in respect of any of the items on the agenda. When an interest has been declared, the Chair may resolve that the member:

• leaves the meeting while the item of business is discussed; or
• participates in the discussion but withdraws from the meeting before the vote and/or decision; or
• stays but does not participate in either the debate or vote/decision; or
• stays with full debating and voting/decision rights.

All declarations of interest will be recorded in the minutes, together with any ensuing action.

3.0 CONFIRMATION OF MINUTES

The minutes of the 5/2014 meeting are attached for confirmation (attachment 3).

4.0 CHAIR’S REPORT

4.1 OUTCOMES OF ARC FUTURE FELLOWSHIPS 2014

Griffith submitted 13 applications to the 2014 ARC Future Fellowships round. None of the applications were successful. This is a significant disappointment for the University since the University’s success rate for the scheme has previously ranged from 16 to 30% (attachment 4a).

Four of the 13 applications were ranked in the top 10% unsuccessful band and one application was ranked in the top 11 to 25%. Griffith was one of the six universities in the IRU group with no Future Fellowships awarded. Further analyses relative to other institutions and previous success rates, number of successful applications and funding awarded are attached (attachment 4a).
4.2 PRELIMINARY DATA ON SUBMISSIONS OF EOI 2015 ARC AND NHMRC FUNDING ROUNDS

Expressions of interest for submitting 2015 ARC Discovery, DECRA and NHMRC Projects closed in the Office for Research on Friday 24 July. The following table shows the number submitted by Group and Scheme.

<table>
<thead>
<tr>
<th>Academic Group</th>
<th>ARC DECRA</th>
<th>ARC DISCOVERY PROJECT</th>
<th>NHMRC PROJECT</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>AEL</td>
<td>4</td>
<td>28</td>
<td>-</td>
<td>32</td>
</tr>
<tr>
<td>GBS</td>
<td>6</td>
<td>20</td>
<td>-</td>
<td>26</td>
</tr>
<tr>
<td>Health</td>
<td>5</td>
<td>30</td>
<td>73</td>
<td>108</td>
</tr>
<tr>
<td>Sciences</td>
<td>20</td>
<td>70</td>
<td>52</td>
<td>142</td>
</tr>
<tr>
<td>Grand Total</td>
<td>35</td>
<td>148</td>
<td>125</td>
<td>308</td>
</tr>
</tbody>
</table>

The EoIs have been forwarded to the Academic Group Deans Research. Deans Research are to provide recommendations and a report on the process used for evaluating EOs to Office for Research by 24 August 2014.

4.3 ERA 2015 UPDATE

The ARC has released the final dates in the ERA 2015 submission timelines. The lodgement of the ERA 2015 data to the ARC will commence on 19 January 2015. In preparation for the ERA 2015 submission, the Office for Research has developed the eraAssist platform, a database tool that aids in the identification and allocation of ERA eligible data in pertinent and strategic fields of research (FoRs).

The two major components of the preparation plan for ERA 2015 are: 1) analysis of the research citation impact of articles in citation-based FoRs and 2) selection and digitisation of outputs in the peer review fields. For ERA 2015, all research outputs nominated for peer review must be stored in an institutionally supported digital repository. These activities are being coordinated by OR under the guidance of the ERA Reference Group and advice from the ERA 2015 academic advisers. It is expected to finalise assembling the Griffith dataset by early December 2014.

4.4 UPDATE ON UNIVERSITIES AUSTRALIA DVCR MEETING

The Chair will brief the Committee on the UA DVCR meeting on 22 July 2014. Main points discussed included upcoming budget changes and the position of the Department of Industry on the CRC program.

5.0 DEAN, GGRS REPORT

5.1 BGR MEETING 4/2014 ACTION SHEET

The Dean, GGRS will provide a report on the outcomes of the Board of Graduate Research (BGR) meeting on 23 July (4/2014). The BGR Action sheet is included (attachment 5a).

5.2 REVISED HDR POLICY

The final version of the revised HDR Policy has been approved by BGR. The Research Committee to endorse the revised HDR Policy for approval by the Academic Committee (attachment 5b).
5.3 HDR ADVOCATES UPDATE

The proposal to establish HDR Advocates in each of the Groups was considered at the Executive Group Meeting held on 5 February 2014.

Under recommendation by EG, the Group PVCs together with the Dean, GGRS and the corresponding Dean Research, designed Group-specific approaches that will not cut across existing initiatives or responsibilities. The attached document addresses each of the Group approaches and has been endorsed by EG while seeking clarification on detail and timing of activities still in progress (attachment 5c).

ORDERING OF THE AGENDA

SECTION I: MATTERS FOR DEBATE AND DECISION

6.0 LINKAGE PROJECTS: ANALYSIS OF UNSUCCESSFUL APPLICATIONS IN 2014 AND PROPOSED NOI PROCESS

At the July 2014 meeting, Research Committee decided that further analysis to discover why particular Linkage applications rated poorly on ‘Research Environment’ and ‘Partner Organisation Commitment’ was required. The Office for Research has analysed the assessor reports for all unsuccessful applications looking particularly at assessor responses on ‘Research Environment’ and ‘Partner Organisation Commitment’. Although there were some negative comments included under these criteria, it does not appear that the applications rated poorly primarily for these reasons. Most often applications rated poorly on the ‘Significance and Innovation’ and ‘Approach and Training criteria’ with the weight of negative feedback relating to these areas rather than ‘Research Environment’ or ‘Partner Organisation Commitment’. There was often very detailed feedback on ‘Significance and Innovation’ and ‘Approach and Training’ and only minor issues under the other criteria. It was heartening to see that there were often positive comments relating to ‘Research Environment’.

The Office for Research has also analysed the level of partner organisation cash contribution for each application (attachment 6a). The attached report shows that the level of cash contribution relative to the ARC cash requested, for successful applications, ranged from 127% to 27%. The range for unsuccessful applications was 72% to 11%. Low cash contributions only received negative feedback where a “commercial” partner organisation’s contribution appeared inconsistent with statements within the application about the importance of the project to the partner organisation. This may suggest that CIs whose partners are commercial entities may need assistance in increasing the level of cash contribution.

The analysis suggests that greater focus on peer review of applications be undertaken particularly in regard to the significance and innovation and approach and training sections.

The Office for Research Notification of Intent process for Linkage applications (attachment 6b) includes suggestions for Group and Research Centre support mechanisms to assist applicants.

For discussion

7.0 INSTITUTIONAL ANALYSIS OF NHMRC APPLICATIONS SUCCESS RATE AND ‘NOT FOR FURTHER CONSIDERATION’ APPLICATIONS

The National Health and Medical Research Council (NHMRC) has for the first time released data showing the number of applications ruled out early compared to those successful in 2013.

The Office for Research analysed the 2014 NHMRC Project Grant Application Grant Review Panel Rankings finding that there was a significant increase in the number and percentage of Griffith applications that fell into the ‘not for further consideration’ category, 45.7% compared to 31.5% last year (attachments 7a, b).
The Australian ‘Higher Education’ section recently published comments by the CEO of the NHMRC about the data and included statistics for many universities including Griffith: “Griffith University put in 70 applications, with 32 rejected at the first cut and a final success rate of 10 per cent against a sector average of 16.9 per cent” (attachment 7c).

For discussion

8.0 AUTONOMOUS SANCTIONS

The Autonomous Sanctions Act 2011 administered by DFAT allows the imposition of sanctions against selected target countries as a matter of foreign policy. Sanctions typically take the form of denial of access to good and services, travel or finance. Autonomous sanctions are currently imposed on eight countries with the most restrictive conditions in place against North Korea and Iran. Universities potentially intersect with the legislation through the provision of services to HDR students in the form of research training and support, and it is the responsibility of both the institutions and the individuals working in them not to provide any prohibited goods or services (e.g. training that could be used in Iran’s nuclear, energy or missile programs). The definitions of prohibited services can be quite broad, meaning that extreme care has to be exercised in the admission of students from sanctioned countries and also in their subsequent training and management. A summary of the legislation and its consequences for universities is attached (attachment 8a).

The penalties for contravention of the Act are severe, and apply to both the institution and individual staff. There may be a defence if the institution can demonstrate that it took reasonable precautions and exercised due diligence to avoid contravening sanctions measures.

To assess the preparedness of Griffith in this respect, GGRS commissioned an external review of process (attachment 8b). This review has identified shortcomings in current processes and makes a series of recommendations. Responses and an implementation plan are presented for information and discussion (attachment 8c).

For discussion

SECTION II: MATTERS REQUIRING RATIFICATION OF EXECUTIVE ACTION

Nil

SECTION III: MATTERS FOR NOTING AND, WHERE APPROPRIATE, ACCEPTANCE OF RECOMMENDATIONS

9.0 OUTCOME OF THE GU/HKPU GRANTS SCHEME

A paper on the outcomes of the GU/Hong Kong Polytechnic University Grants Scheme is attached for noting (attachment 9).

10.0 PROGRESS UPDATE FOR TWO EICP FUNDED PROJECTS: ‘CCR ONLINE APPROVAL FORM’ AND ‘HDR LIFECYCLE MANAGEMENT PROJECT’

At the Research Committee meeting 5/2014 the Chair requested the PVC INS for progress updates of the two EICP funded projects: ‘CCR online approval form project’ and the ‘HDR lifecycle Management Project’.

The Deputy PVC INS will brief the Committee on the progress of these two projects (attachments 10a, b).

11.0 OTHER MATTERS FOR NOTING

a) Grants awarded for 01/07/14 - 28/07/14 (attachment 11a)
b) Applications submitted for 01/07/14 - 28/07/14 (attachment 11b)
c) Consultancies awarded 01/07/14 - 28/07/14 (attachment 11c)
d) AEC minutes meeting 5/2014 (attachment 11d)
12.0 OTHER BUSINESS

13.0 NEXT MEETING

The next meeting of Research Committee will be held at 9:30 am on Tuesday 16 September 2014 at Mt Gravatt Campus M10 Room 5.04.

14.0 FUTURE MEETING DATES

<table>
<thead>
<tr>
<th>Meeting date</th>
<th>Campus</th>
<th>Venue</th>
</tr>
</thead>
<tbody>
<tr>
<td>14 October</td>
<td>Gold Coast</td>
<td>Chancellery G34 Room 2.02</td>
</tr>
<tr>
<td>11 November</td>
<td>Nathan</td>
<td>Bray Centre N54 Room 2.02</td>
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DISTRIBUTION LIST

Committee Members

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<thead>
<tr>
<th>Name</th>
<th>Appointment Type</th>
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<tbody>
<tr>
<td>Professor Ned Pankhurst</td>
<td>Chair</td>
<td>ex officio</td>
</tr>
<tr>
<td>Professor Sue Berners-Price</td>
<td>Member - Deputy Chair</td>
<td>ex officio</td>
</tr>
<tr>
<td>Professor Gerry Docherty</td>
<td>Member - AEL</td>
<td>ex officio</td>
</tr>
<tr>
<td>Professor Greer Johnson</td>
<td>Member - AEL</td>
<td>To 31 Dec 2015</td>
</tr>
<tr>
<td>Professor Graham Cuskelley</td>
<td>Member - Business</td>
<td>ex officio</td>
</tr>
<tr>
<td>Professor Susanne Beeken</td>
<td>Member - Business</td>
<td>To 31 Dec 2015</td>
</tr>
<tr>
<td>Professor David Shum</td>
<td>Member - Health</td>
<td>ex officio</td>
</tr>
<tr>
<td>Professor Marie Cooke (for Professor Wendy Moyle)</td>
<td>Member - Health</td>
<td>To 31 Dec 2015</td>
</tr>
<tr>
<td>Professor David Lambert</td>
<td>Member - SEET</td>
<td>ex officio</td>
</tr>
<tr>
<td>Professor Nam-Trung Nguyen</td>
<td>Member - SEET</td>
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<tr>
<td>Ms Linda O’Brien</td>
<td>Member - INS</td>
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</tr>
<tr>
<td>Professor Jason Sharman</td>
<td>Elected member of the Professoriate</td>
<td>To 31 Dec 2015</td>
</tr>
<tr>
<td>Dr Alison Carey</td>
<td>Early Career Researcher</td>
<td>To 31 Dec 2015</td>
</tr>
</tbody>
</table>

Persons with rights of audience and debate

Dr Vicki Pattemore                     Director, Office for Research
Ms Daina Garklavs                      Deputy Director Research Services, Office for Research
Mr Tony Sheil                          Deputy Director Research Policy, Office for Research
Mr Nicholas Mathiou                    Director, Griffith Enterprise
Ms Julene Finnigan                     Resource Manager, Corporate Resource Management, Planning and Financial Services
Dr Claudia Arango                      Policy Officer Research Excellence, Office for Research (Secretary)

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Other copies

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Binding copy (attachments)
Working copy (attachments)
Spare copy (attachments)